PTO/SB/51 (10-05)
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U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
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| SUPPLEMENTAL | Docket Number (Optional) | | | |
|---|--|--|--|--|
| REISSUE APPLICATION DECLARATION BY THE INVENTOR | 080310.40901CO | | | |
| I hereby declare that: Each inventor's residence, mailing address and citizenship are stated below next to their name. I believe the inventors named below to be the original and first inventor(s) of the subject matter which is described and claimed in patent number | | | | |
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| the specification of which | · | | | |
| is attached hereto. | | | | |
| x was filed on <u>November 3, 1997</u> as reissue application number <u>08/962,776</u> | | | | |
| and was amended on | | | | |
| (If applicable) | | | | |
| I have reviewed and understand the contents of the above-identified specifical amendment referred to above. I acknowledge the duty to disclose information which is material to patentabil | | | | |
| I hereby claim foreign priority benefits under 35 U.S.C. 119(a)-(d) or (f), equivalent) listing the foreign applications. | or 365(b). Attached is form PTO/SB/02B (or | | | |
| I verily believe the original patent to be wholly or partly inoperative or invalid, below. (Check all boxes that apply.) | for the reasons described | | | |
| by reason of a defective specification or drawing. | | | | |
| x by reason of the patentee claiming more or less than he had the right to | claim in the patent. | | | |
| x by reason of other errors. | | | | |
| At least one error upon which reissue is based is described below. If the reiss reissue, such must be stated with an explanation as to the nature of the broa Claims 1 and 16 did not recite a necessary independent r | denina: | | | |
| the drive shaft, and were therefore too broad. Claims 1 | and 16 also included unnecessary | | | |
| limitations in reciting that the at least two openings are provided for at least one of | | | | |
| treating and handling at least one workpiece thereat, as well as in reciting that the at | | | | |
| least two conveyors are arranged at the transport device and further that the transport | | | | |
| arm for each conveyor projects from the drive shaft which limitations are unnecessarily | | | | |
| narrow. In addition, original Claims 1-29 do not comprehensively cover the entire scope of | | | | |
| the invention and therefore Claims 30-34 have been added | d to do so. | | | |

[Page 1 of 2]
This collection of information is required by 37 CFR 1.175. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 30 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. Docket Number (Optional) 080310.40901CO (REISSUE APPLICATION DECLARATION BY THE INVENTOR, page 2) All errors corrected in this reissue application arose without any deceptive intention on the part of the applicant. Note: To appoint a power of attorney, use form PTO/SB/81. Correspondence Address: Direct all communications about the application to: X The address associated with Customer Number: 23911 OR Firm or Individual Name Address Zip State City Country Email Telephone WARNING: Petitioner/applicant is cautioned to avoid submitting personal information in documents filed in a patent application that may contribute to identity theft. Personal information such as social security numbers, bank account numbers, or credit card numbers (other than a check or credit card authorization form PTO-2038 submitted for payment purposes) is never required by the USPTO to support a petition or an application. If this type of personal information is included in documents submitted to the USPTO, petitioners/applicants should consider redacting such personal information from the documents before submitting them to the USPTO. Petitioner/applicant is advised that the record of a patent application is available to the public after publication of the application (unless a non-publication request in compliance with 37 CFR 1.213(a) is made in the application) or issuance of a patent. Furthermore, the record from an abandoned application may also be available to the public if the application is referenced in a published application or an issued patent (see 37 CFR 1.14). Checks and credit card authorization forms PTO-2038 submitted for payment purposes are not retained in the application file and therefore are not publicly available. I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine and imprisonment, or both, under 18 U.S.C. 1001, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this declaration is directed. Full name of sole or first inventor (given name, family name) Inventor's signature
Roman SCHERTLER Date A pril 27, 2007 Citizenship Residence Austria Wolfort, Austria Mailing Address Lorenz Schertlerstrasse 18 6922 Wolfurt, Austria Full name of second joint inventor (given name, family name) Date Inventor's signature Residence Citizenship Mailing Address Additional joint inventors or legal representative(s) are named on separately numbered sheets forms PTO/SB/02A or 02LR attached hereto.

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DECLARATION – Supplemental Priority Data Sheet

| Foreign applications: | | | | | |
|--|---------|-------------------------------------|-------------------------|------------------------------------|--|
| Prior Foreign Application Number(s) | Country | Foreign Filing Date (MM/DD/YYYY) | Priority Not Claimed | Certified Copy Attached? YES NO | |
| 4117969 | DE | 05/31/1991 | | | |
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This collection of information is required by 35 U.S.C. 115 and 37 CFR 1.63. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 21 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

PTO/SB/53 (04-04)
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Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. Docket Number (Optional) REISSUE APPLICATION: CONSENT OF ASSIGNEE; 080310.40901CO STATEMENT OF NON-ASSIGNMENT This is part of the application for a reissue patent based on the original patent identified below. Name of Patentee(s) Roman SCHERTLER **Date Patent Issued** Patent Number 5,245,736 September 21, 1993 Title of Invention A Vacuum Process Apparatus Filed herein is a statement under 37 CFR 3.73(b). (Form PTO/SB/96) Ownership of the patent is in the inventor(s), and no assignment of the patent is in effect. One of boxes 1 or 2 above must be checked. If multiple assignees, complete this form for each assignee. If box 2 is checked, skip the next entry and go directly to "Name of Assignee". The written consent of all assignees and inventors owning an undivided interest in the original patent is included in this application for reissue. The assignee(s) owning an undivided interest in said original patent is/are Balzers AG and the assignee(s) consents to the accompanying application for reissue. Name of assignee/inventor (if not assigned) BALZERS AG Signature 27. APR. 2007 Typed or printed name and title of person signing for assignee (if assigned) JOERG BAUR ERICH HAEFELI

This collection of information is required by 37 CFR 1.172. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 6 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

HEAD PATENT DEPT.

HEAD LEGAL DEPT.

PTO/SB/96 (04-07)
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| STATEMENT UNDER 37 CFR 3,73(b) | | | | |
|--|--|--|--|--|
| Applicant/Patent Owner:Balzers AG | | | | |
| Application No./Patent No.: 5,245,736 Filed/Issue Date: September 21, 1993 | | | | |
| Entitled: A Vacuum Process Apparatus | | | | |
| Raizers AG , a <u>Corporation</u> (Name of Assignee) (Type of Assignee, e.g., corporation, partnership, university, government agency, etc.) | | | | |
| states that it is: 1. ✓ the assignee of the entire right, title, and interest; or | | | | |
| 2. an assignee of less than the entire right, title and interest (The extent (by percentage) of its ownership interest is | | | | |
| in the patent application/patent identified above by virtue of either: | | | | |
| A. An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel 006185, Frame 0599, or for which a copy thereof is attached. | | | | |
| OR B. A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as follows: | | | | |
| 1. From: To: The document was recorded in the United States Patent and Trademark Office at Reel, Frame, or for which a copy thereof is attached. | | | | |
| 2. From: | | | | |
| 3. From:To: | | | | |
| The document was recorded in the United States Patent and Trademark Office at Reel, Frame, or for which a copy thereof is attached. | | | | |
| Additional documents in the chain of title are listed on a supplemental sheet. | | | | |
| As required by 37 CFR 3.73(b)(1)(i), the documentary evidence of the chain of title from the original owner to the assignee was, or concurrently is being, submitted for recordation pursuant to 37 CFR 3.11. | | | | |
| [NOTE: A separate copy (i.e., a true copy of the original assignment document(s)) must be submitted to Assignment Division in accordance with 37 CFR Part 3, to record the assignment in the records of the USPTO. See MPEP 302.08] | | | | |
| The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee. 27. APR. 2007 | | | | |
| ERICH HAEFELI JOERG BAUR 00423-388-4707 | | | | |
| Printed or Typed Name Telephone Number | | | | |
| HEAD LEGAL DEPT. HEAD PATENT DEPT. | | | | |

This collection of information is required by 37 CFR 3.73(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.